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Resource/Employee and Labor Relations Department and the employee's supervisor address the non-compliance including:

- x mitigation,
- x a warning
- x re-training
- x suspension, and/or
- x other disciplinary actions, up to and including termination.

3. Any resulting sanctions will be documented. IACER will coordinate with the Human Resource/Employee and Labor Relations Department, as appropriate, to carry out the sanctions process.

#### Related Documents

Breach Notification

Complaints, No Retaliation, No Waiver of Rights

HIPAA Privacy Policies, Procedures, and Documentation

HIPAA Privacy Policies and Procedures Definitions

Mitigation of HIPAA Related Incidents

Compliance Concerns Reporting, Investigating, and Protection from Retaliation

Compliance Concerns: What You Need to Know About Reporting & the Investigation Process

#### Legal Reference

45 C.F.R. §164.530(e)

42 USC §1320c

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This version supersedes all NYU Langone Health (as defined in this Policy) previous policies,